

# UNITED STATES DISTRICT COURT WESTERN DISTRICT OF ARKANSAS

#### NOTICE TO MEMBERS OF THE BAR

# ELECTRONIC AVAILABILITY OF TRANSCRIPTS OF PROCEEDINGS BEFORE UNITED STATES DISTRICT AND MAGISTRATE JUDGES

Effective May 15, 2008, the United States District Court for the Western District of Arkansas, in accordance with the Judicial Conference Policy on Privacy and Public Access to Electronic Case Files, Federal Rule of Civil Procedure 5.2 and Federal Rule of Criminal Procedure 49.1, will implement the following policy regarding official court transcripts:

- 1. A transcript provided to the court by a court reporter or transcriber will be available at the Clerk's Office, for inspection only, for a period of 90 days after it is filed.
- 2. During the 90-day period, a copy of the transcript may be purchased from the court reporter or transcriber at the rate established by the Judicial Conference. Any attorney of record who has purchased a copy of the transcript from the court reporter or transcriber will have remote electronic access to the transcript through the court's CM/ECF system.
- 3. After the 90-day period has ended, or longer if ordered by the court, the transcript will be available to download through PACER, for copying at the Clerk's Office, and for purchase from the court reporter or transcriber.

This policy applies solely to transcripts filed on or after May 15, 2008, of proceedings held before the United States District Judges and Magistrate Judges for the Western District of Arkansas and recorded by Official Court Reporters, Contract Court Reporters, and Electronic Court Recorder Operators. The policy does not apply to depositions, proceedings of state courts, other jurisdictions, or sealed transcripts.

This policy establishes a procedure for counsel to request the redaction from the transcript of specific personal data identifiers before the transcript is made electronically available to the general public.

Counsel are strongly urged to share this notice with their clients so that an informed decision about the inclusion of certain materials may be made. *The responsibility for redacting personal data identifiers rests solely with counsel and the parties.* The court reporter, transcriber or clerk will not review the transcript for compliance with this policy.

#### FILING OF TRANSCRIPT

Whenever an official transcript of a proceeding is filed by the Clerk, a Notice of Filing of Transcript will be served on all parties in the case. The CM/ECF Notice of Electronic Filing (NEF) will include four deadlines:

- Notice of Intent to Request Redaction (if necessary) <u>7 calendar days</u> after filing the original transcript.
- Redaction Request Deadline (if necessary) <u>21 calendar days</u> after filing the original transcript.
- Redacted Transcript Deadline (if requested) <u>31 calendar days</u> after filing the original transcript.
- Release Transcript Restriction Deadline <u>90 calendar days</u> after filing the original transcript, unless redaction issues are pending.

#### NOTICE OF INTENT TO REQUEST REDACTION

If redaction is determined to be necessary, a party must file a **Notice of Intent to Request Redaction** within <u>7 calendar days</u> after the filing of the original transcript. (The event is located in the CM/ECF system, civil and criminal event categories, under *Other Filings > Other Documents*.) <u>Attorneys must serve this notice in paper upon the court reporter or transcriber.</u> (See attached Notice of Intent to Request Redaction form.)

#### **REDACTION REQUEST - TRANSCRIPT**

Within <u>21 calendar days</u> after the transcript is filed, or longer if ordered by the court, a party must file in the CM/ECF system a **Redaction Request - Transcript**. (The event is located in the CM/ECF system, civil and criminal event categories, under *Other Filings > Other Documents*.) **Attorneys must serve this notice in paper upon the court reporter or transcriber**.

This document indicates the personal identifiers to be redacted and specifies the page and line number where they appear in the transcript. *Access to this document is restricted to court staff and case participants.* (See attached Redaction Request form.)

Items included on the redaction request are limited to personal data identifiers, as set forth in Federal Rule of Civil Procedure 5.2 and Federal Rule of Criminal Procedure 49.1:

- Social security number and taxpayer identification number to the last four digits;
- Financial account numbers to the last four digits;
- Dates of birth to the year;
- Names of minor children to the initials; and
- Home address to the city and state (criminal cases only).

#### MOTION TO REDACT TRANSCRIPT

If a party wants to redact information in addition to the personal identifiers listed above, that party must file a **Motion to Redact Transcript** within the <u>21 calendar days</u> after the transcript is filed, or longer if ordered by the court. Access to this document is restricted to court staff and case participants.

Attorneys must serve this notice in paper upon the court reporter or transcriber.

NOTE: If a party files a Notice of Intent to Request Redaction but fails to timely file a Redaction Request, Motion to Redact, or Motion to Extend Time Re Transcript, no redaction will be made and the original transcript will be publicly available after the 90-day period.

#### REDACTED TRANSCRIPT

If redactions have been requested, the redacted transcript must be submitted by the court reporter or transcriber to the Clerk's Office and filed within <u>31 calendar days</u> after the filing of the original transcript, or longer if ordered by the court.

#### RELEASE OF TRANSCRIPT

At the end of the **90 calendar days** after the original transcript was filed, or longer if ordered by the court, the access restrictions are lifted from the last filed version of the transcript. If a redacted version has been filed, the original unredacted transcript will remain as a restricted document.

**NOTE:** Both the unredacted and redacted transcripts will be available at the public terminal, for viewing only. However, **only the redacted version will be available for printing at the Clerk's Office or for remote electronic access through PACER at the end of the 90-day restriction period.** 

#### RESPONSIBILITIES FOR REDACTION

When a transcript is filed, the attorneys in the case are (or, where there is a pro se party, the party is) responsible for reviewing it for the personal data identifiers required in the federal rules to be redacted, and providing the court reporter or transcriber with a statement setting out the redactions to be made to comply with these rules.

Unless otherwise ordered by the court, the attorney must review the following portions of the transcript:

- opening and closing statements made on the party's behalf;
- statements of the party;
- the statement of any witness called by the party;
- sentencing proceedings; and
- any other portion of the transcript ordered by the court.

If a portion of the transcript includes voir dire, or other jury information that does not fall within the responsibilities of either party, the court may direct the attorneys to review it and offer redactions, or the court may restrict that part of the transcript from remote electronic public access.

NOTE: The responsibility for redacting personal data identifiers rests solely with counsel, including "standby" counsel, and the parties. The court reporter, transcriber or clerk will not review the transcript for compliance with this policy.

#### **DOCUMENT ACCESS**

During the 90-day restriction period, or longer if ordered by the court, individuals wishing to purchase a copy of a transcript must do so through the court reporter or transcriber. If an attorney of record on the case has purchased and received the transcript from the court reporter or transcriber; they will be given electronic access to the transcript through the CM/ECF system. Attorneys authorized to view or download the transcript will be able to create hyperlinks to the transcript. (See below for fee information.)

The transcript will also be available at the Court Clerk's Public Terminal (for inspection only).

A copy of the transcript <u>CANNOT</u> be provided by the Clerk's Office during the 90-day restriction period to anyone - including the attorneys and parties in the case, Department of Justice, Federal Public Defenders Office, CJA Panel Attorneys, public or media.

After the 90-day restriction period, the filed transcript will be remotely available to the public through PACER, available for copying at the Clerk's Office, and available for purchase from the court reporter or transcriber.

#### **PACER CHARGES**

Charges for access through PACER will accrue during and after the 90-day restriction period. Charges will accrue for the entire transcript rather than being capped at the 30 page limit. The user will incur PACER charges each time the transcript is accessed even though the PACER user may have purchased it from the court reporter or transcriber and obtained remote access through CM/ECF. There is no "free look" for transcripts.

#### CJA PANEL ATTORNEYS

An attorney who is serving as appointed "standby" counsel for a pro se litigant must review the transcript as if the pro se party were his or her client. Counsel appointed pursuant to the Criminal Justice Act (CJA), including serving as "standby" counsel, may claim compensation under CJA for the time spent performing functions to fulfill the redaction obligations and for reimbursement of related reasonable expenses.

## \*\*SAMPLE NOTICE FORMAT\*\*

NOTE: The event is located on CM/ECF by clicking: Civil or Criminal > Other Filings > Other Documents> Notice of Intent to Request Redaction

IN THE UNITED STATES DISTRICT COURT WESTERN DISTRICT OF ARKANSAS

		Plaintiff(s)
vs.	Case No	
		Defendant(s)
<u>NO</u>	TICE OF INTENT TO REQUEST REDACTION	
Notice is hereby given t the filing of the transcript with th	that a Redaction Request shall be filed with the Co ne Clerk of Court.	ourt within 21 days from
Date	/s/ Attorney's Name and Bar Numb Law Firm Name Law Firm Address Law Firm Phone Number Attorney's E-mail Address	er
	CERTIFICATE OF SERVICE	
which will send notification of su	, I filed the foregoing with the Clerk of the Court unuch filing to the following:ted States Postal Service to the following non CM,	, and I hereby
Date	/s/ Attorney's Name and Bar Numb Law Firm Name Law Firm Address Law Firm Phone Number Attorney's E-mail Address	 er

Plaintiff(s)

## \*\*SAMPLE NOTICE FORMAT\*\*

NOTE: The event is located on CM/ECF by clicking: Civil or Criminal > Other Filings > Other Documents> Redaction Request - Transcript

IN THE UNITED STATES DISTRICT COURT WESTERN DISTRICT OF ARKANSAS

VS.			Case No	
				Defendant(s)
		<u>R</u>	EDACTION REQUEST - TRANSCRIP	<u> 27</u>
	f/Defendant)		s of Civil Procedure 5.2/Federal Rule on the following personal identifiers be rec	
Doc#	Page	Line	ldentifier	Redaction Requested
70 70 70 70 70 70 70	12 13 15 16 56	8 9 16 23 11	Social Security Number Taxpayer ID Number Financial Account Number Date of Birth Minor Child's Name Home Address (criminal only)	xxxx-xx-1234 xxxxxx5678 xxx-xxx-xxxx9012 xx/xx/1951 Initials City, State
			/s/	
Date			Attorney's Name and E Law Firm Name Law Firm Address Law Firm Phone Numb Attorney's E-mail Addre	per
			CERTIFICATE OF SERVICE	
which wi	ll send notific	ation of such	filed the foregoing with the Clerk of the filing to the following:   States Postal Service to the following	, and I hereby
 Date			/s/ Attorney's Name and E	Bar Number